

01/31/01
JC772 U.S. PTO

PTO/SB/05 (4/98)

Approved for use through 09/30/2000 OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. A01157

First Inventor or Application Identifier

Barret R. Bobsein et al.

Title

Waterborne Paper Or Paperboard Coating Composition

Express Mail Label No. JC91

01/31/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 31]</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total Pages]</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S)

Signed statement attached deleting inventors(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies </p> |
|--|---|

ACCOMPANYING APPLICATION PARTS

- 7. Assignment Papers (cover sheet & document(s))
- 8. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee)
- 9. English Translation Document (if applicable)
- 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 11. Preliminary Amendment
- 12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 13. *Small Entity Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)
- 14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15. Other: _____

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP)

Prior application information Examiner _____

of prior application No. : 60/193,305

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> Correspondence address below
Name	Ronald D. Bakule Rohm and Haas Company			
Address	Patent Department 100 Independence Mall West			
City	Philadelphia	State	PA	Zip Code
Country	USA	Telephone	215-641-7822	Fax
				19106-2399 215-592-2682

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697
Signature	<i>Wendy A. Choi</i>		
	Date	01/29/01	

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 710)

Complete if Known:

Application Number	
Filing Date	
First Named Inventor	Barrett Richard Bobsein et al
Examiner Name	
Group / Art Unit	
Attorney Docket No	A01157

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account 18-1850 Number Deposit Account Name Rohm and Haas Company <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17				3. ADDITIONAL FEES Large Entity Small Entity Fee Code Fee Code Fee Fee Description Fee Paid Code (\$) Code (\$) (\$) (\$) 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money <input type="checkbox"/> Other Order							
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity	Small Entity			Fee Description	Fee Paid		
Fee Code (\$)	Fee Code (\$)						
101 710	201 355			Utility filing fee	710		
106 320	206 160			Design filing fee			
107 490	207 245			Plant filing fee			
108 710	208 355			Reissue filing fee			
114 150	214 75			Provisional filing fee			
				SUBTOTAL (1) (\$ 710)			
2. EXTRA CLAIM FEES							
				Fee from Extra claims below	Fee Paid		
Total claims	2	-20** = 0	X 18	= 0	0		
Independent Claims	2	-3** = 0	X 80	= 0	0		
Multiple Dependent			270	= 0	0		
*or number previously paid, if greater; For Reissues, see below							
Large Entity	Small Entity			Fee Description	Fee Paid		
Fee Code (\$)	Fee Code (\$)						
103 18	203 9			Claims in excess of 20			
102 80	202 40			Independent claims in excess of 3			
104 270	204 135			Multiple dependent claim, if not paid			
109 80	209 40			**Reissue independent claims over original patent			
110 18	210 9			**Reissue claims in excess of 20 and over original patent			
				SUBTOTAL (2) (\$ 710)			
				*Reduced by Basic Filing Fee Paid			
				SUBTOTAL (3) (\$)			

SUBMITTED BY

Name (Print/Type)	Wendy A. Choi	Registration No. (Attorney/Agent)	36,697	Telephone	215-592-6758
Signature	<i>Wendy A. Choi</i>			Date	01/29/01

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